
A Method for Predicting the Influences of Bearing Support Stiffness and Position on the Vibrations of a Flexible Rotor System

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The bearing support stiffness and position can greatly affect the vibrations of flexible rotor systems (FRSs). However, most previous works only focused on the effect of the bearing support stiffness on the critical speeds or modal characteristics including the natural frequencies and mode shapes of rigid rotor systems (RRSs). The previous studies missed the combined effects of the bearing support stiffness and position. To overcome this issue, an analytical method of a FRS based on the finite element (FE) method is proposed. Our model considers the bearing support stiffness and rotational inertia of FRS. The frequency equation of FRS is established for solving the critical speeds. The critical speeds and modal deformations of FRS from our model and the numerical model based on a commercial software are compared to verify the effectiveness of the presented method. The effects of the bearing support stiffness and position on the critical speeds of FRS are analyzed. The results show that the critical speeds are positively correlated with the bearing support stiffness. The critical speeds of FRS are also greatly affected by the bearing position. This study can provide some guidance for the optimization design method of bearing support stiffness and position in FRSs.

NOMENCLATURE

\mathbf{u}	displacement vector of FRS
$\dot{\mathbf{u}}$	velocity vector of the FRS
$\ddot{\mathbf{u}}$	acceleration vector of FRS
\mathbf{M}_1	assembled mass matrix of rotor
\mathbf{G}_1	assembled gyroscopic matrix of rotor
\mathbf{K}_1	assembled stiffness matrix of rotor
Ω	rotational angular velocity
\mathbf{M}_s	mass matrix of each node
\mathbf{M}_d	mass matrix of disk
\mathbf{G}_s	gyroscopic matrix of each node
\mathbf{J}	gyroscopic matrix of disk
\mathbf{K}_s	stiffness matrix of each node
ω	whirling angular velocity
K_b	support stiffness
n_{FW1}	first critical speed for FW
x_A, x_B, x_C	variable of support positions A, B, and C
Δx	variable of support positions

1. INTRODUCTION

Rotor systems in turbines, compressors, and turbojet engines are designed to be lighter and more flexible ones due to their working conditions.¹ Thus, the system vibrations can be more difficult to control during the design processing of rotor systems. As key parameters for rotor systems, the unreasonable bearing support stiffness and position may produce unac-

ceptable subcritical superharmonic responses when the rotor speed and system's natural frequencies are close. Thus, a clear analysis of the relationship between vibrations and rotor systems with different support stiffness and position is helpful for their optimal design.

Numerous previous works were focused on vibrations of rotor systems. For instance, Chen and Wang² conducted a design optimization method for a rigid rotor system (RRS) based on the eigenvalues. Barrett and Flack³ proposed an experimental investigation to analyze the bearing support stiffness effect on the stability and unbalance vibrations of an RRS. Sinou et al.⁴ presented the finite element (FE) and experimental methods to investigate the bearing support stiffness effect on the critical speeds of an FRS. Sinou et al.⁵ developed an experimental analysis to study the modal characteristics including modal frequencies and shapes of an FRS for different speed cases. Nagasaka et al.⁶ discussed the damping ratio, lateral force, and unbalanced effects on the critical speeds for a uniform RRS. Dikmen et al.⁷ presented the FE and experimental methods to the bearing support stiffness effect on the modal characteristics of an FRS. Jalali et al.⁸ proposed an FE model to study the critical speeds, unbalance response, and operational deflection shapes of an FRS. Birchfield et al.⁹ used the transfer function approach to study the eigenvalues of a RRS considering the flexible foundations. Nagesh et al.¹⁰ developed the FE and experimental methods to analyze the modal characteristics of an FRS. Sinou and Thouverez¹¹ presented an

Table 1. Dimensional parameters of shaft.

	l_1	l_2	l_3	l_4	l_5	l_6	l_7
Radius (mm)	45.00	45.00	45.00	45.00	32.50	35.00	35.00
Thickness (mm)	49.94	49.94	49.94	49.94	26.05	30.21	30.21

Table 2. Dimensional parameters of disks.

	Disk 1	Disk 2	Disk 3
Outer radius (mm)	150	225	100
Inner radius (mm)	45	45	35
Length (mm)	20	30	30

experimental method to study the bearing temperature effect on the critical speeds and unbalanced vibration of an FRS.

Additionally, Lazarus et al.¹² introduced a FE model based on the modal analysis to discuss the unbalanced vibration of an FRS. Sapanen et al.¹³ introduced a numerical approach based on the multibody and FE methods to describe the superharmonic responses of an FRS. Wang et al.¹⁴ introduced an FE model to describe the shaft anisotropy effect on the whirling and forced responses for an FRS. Zou et al.¹⁵ developed a vibration method to describe the forward and backward frequencies of a FRS. Zhou et al.¹⁶ provided a rotor-bearing model to investigate the nonlinear characteristics. Hu and Palazzolo¹⁷ introduced an FE model including the gyroscopic and support stiffness of the bearings to model the modal characteristics of an FRS. Yang et al.¹⁸ provided an analytical method to study the bearing varying compliance on the dynamics of an RRS. Heidari and Safarpour¹⁹ proposed H_∞ and H_2 approaches to obtain the optimum damping ratio and support stiffness for an FRS. Li et al.²⁰ provided a general vibration method to study the dynamics for an FRS. Zheng et al.²¹ developed an FE model to study the bearing support stiffness and rotor material properties effects on the double frequency vibrations of an FRS. As seen in the above listed descriptions, most previous works only focused on the effect of the bearing support stiffness on the critical speeds of RRSs or modal characteristics including the natural frequencies and mode shapes. Few works focused on the combined effects of the bearing support stiffness and position on both the critical speeds and modal characteristics of an FRS.

This work proposes an analytical method of an FRS based on the FE method. The model considers the bearing support stiffness and rotational inertia of FRS. The rotor is modelled as Timoshenko beams. The contact stiffness in the bearings is obtained by using Hertzian contact method. The frequency equation of an FRS is established for solving the critical speeds. The critical speeds and modal deformations of the system from the presented model and the FE model based on a commercial software are compared to verify the effectiveness of our method. The effects of the bearing support stiffness and position on the critical speeds of an FRS are analyzed.

2. A PROPOSED FE MODEL AND FREQUENCY EQUATION OF FRS

An FE model of FRS is shown in Fig. 1. The shaft has three rigid disks. The three rigid disks have different masses and moments of inertia. The shaft and disks are rigidly connected. There are three support positions on the shaft. The three positions are located at the middle position and two ends of the shaft. Each support position only considers the bearing same stiffness along X and Y directions. The shaft and disks are made of 45 steel, and their density and Young's modulus are 7850 kg/m^3 and 209 GPa , respectively. The dimensional parameters of the shaft and disks are shown in Tables 1 and 2, respectively.

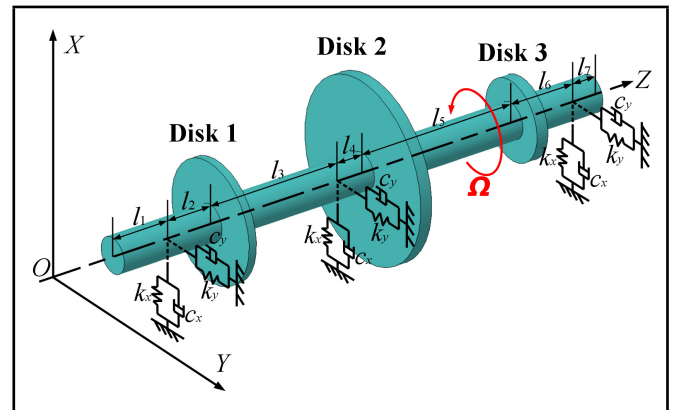


Figure 1. A FE model for the FRS.

To simulate the vibrations of the FRS, some assumptions are defined as follows.

- 1) The rigid connections between the shaft and bearing inner ring are used.
- 2) The bearing outer ring is rigidly supported, which is rigidly connected with bearing block.
- 3) It is a pure rolling state in the bearings.
- 4) The whole system is a healthy one.
- 5) The influences between the rolling elements and cage on the vibrations of system are ignored.
- 6) The influence of inertia of rolling elements is ignored.
- 7) The microscopic deformations of discretized shaft elements are ignored.

2.1. A FE Model for an FRS

According to the FE method in Ref.,²² the equation for the proposed FE model for an FRS is given by:

$$\begin{bmatrix} \mathbf{M}_1 & \\ & \mathbf{M}_1 \end{bmatrix} \{\ddot{\mathbf{u}}\} + \begin{bmatrix} \Omega \mathbf{J}_1 & \\ & -\Omega \mathbf{J}_1 \end{bmatrix} \{\dot{\mathbf{u}}\} + \begin{bmatrix} \mathbf{K}_1 & \\ & \mathbf{K}_1 \end{bmatrix} \{\mathbf{u}\} = \{\mathbf{0}\} \tag{1}$$

where $\ddot{\mathbf{u}}$, $\dot{\mathbf{u}}$, and \mathbf{u} are the acceleration, velocity, and displacement vectors of each node, and Ω denotes the rotational angular velocity; In Eq. (1), \mathbf{J}_1 denotes the assembled gyroscopic matrix, which includes the gyroscopic matrices of all nodes $\mathbf{G}_s^{(i)}$ and gyroscopic matrix of the disk \mathbf{J} ; \mathbf{M}_1 describes the assembled mass matrix, which includes the mass matrices of all nodes $\mathbf{M}_s^{(i)}$ ($i=1, 2, \dots, 7$) and mass matrix of the disk \mathbf{M}_d ; \mathbf{K}_1 denotes the assembled stiffness matrix, which is composed by the stiffness matrices of all nodes $\mathbf{K}_s^{(i)}$; and $\{\mathbf{0}\}$ is the null vector. The details of \mathbf{M}_1 , \mathbf{J}_1 , and \mathbf{K}_1 are provided in Appendix A, as well as the relative parameters. The presented model is based on the finite element, Timoshenko beam and rotor dynamic theories. Firstly, the rotor shaft is discretized into several beam elements and the beam elements are considered as the Timoshenko beams. Secondly, the motion equations of beam elements are established based on Newton's second law.

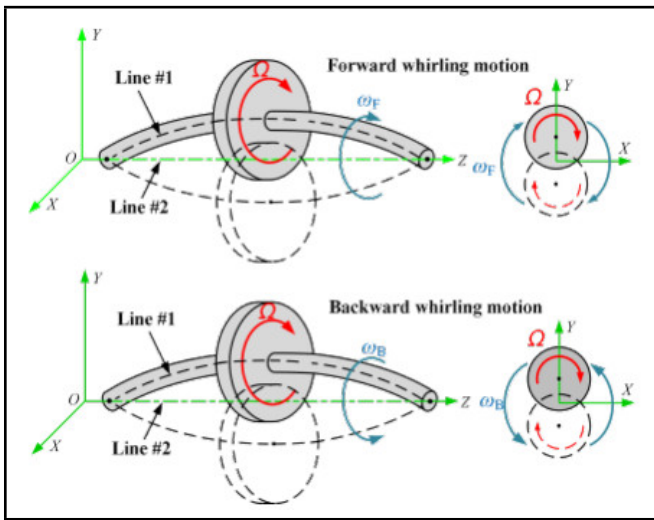


Figure 2. FW and BW motions of FRS.

Thirdly, the assemble motion equation of the flexible rotor system is established by combining the motion equations of beam elements. The vibration responses of each beam elements are obtained by solving the assemble motion equation.

2.2. Frequency Equation of FRS

When the gyro torque is considered, the shaft will be bent due to the unbalanced mass excitation. Both the orbit and rotary motions of rotor are formulated at the same time, where line #1 is the axis of orbit motion and line #2 is the axis of rotary motion as shown in Fig. 2. In Fig. 2, ω_F and ω_B are the orbit motion speeds in the forward and backward whirling directions, respectively; and Ω is the rotational speed of rotor. When the directions of ω_F and Ω are same, it is the forward whirling (FW) motion, and when the directions of ω_B and Ω are different, it is the backward whirling (BW) motion.

The frequency equation for FRS is formulated as:

$$|-\mathbf{M}_1\omega^2 + \mathbf{J}_1\Omega\omega + \mathbf{K}_1| = 0 \quad (2)$$

where ω is the whirling angular velocity. By solving Eq. (2), the frequencies for FW and BW motions can be obtained. These frequencies can reflect the variation of angular velocity of whirling motion during the changing processing of Ω . If $\Omega = \pm\omega$ is substituted into Eq. (2), the critical speeds and natural frequencies for FW and BW motions can be solved, respectively.

2.3. Model Validation

In order to verify the accuracy of the proposed FE model of FRS, the critical speeds and vibrations from the proposed FE and numerical models are compared. The calculation code of the proposed FE model is developed by using MATLAB software. The commercial software used for model verification is ANSYS Workbench. In the software environment, the grid division is carried out for the 3D model of FRS; and the bearing elements are applied to the support positions. An FE model of FRS is established; and the critical speeds are obtained by the dynamic analysis.

The Campbell diagram derived from a numerical model using commercial software is shown in Fig. 3. The critical speeds

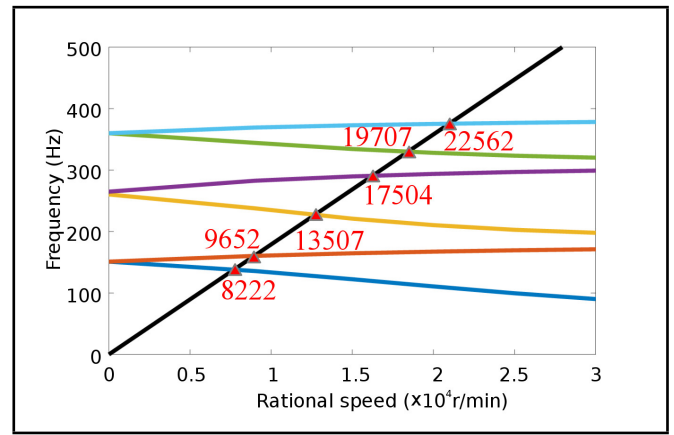


Figure 3. Campbell diagram of commercial software.

Table 3. Dimensional parameters of disks.

Methods	FW critical speed (r/min)			BW critical speed (r/min)		
	Mode 1	Mode 2	Mode 3	Mode 1	Mode 2	Mode 3
FE model	10029	17887	22873	8674	14272	21379
Numerical model	9652	17504	22562	8222	13507	19707
Differences	3.8%	2.1%	1.4%	5.2%	5.4%	7.8%

of FRS from the numerical model developed in the commercial software are provided in the Campbell diagram. The critical speeds and differences between the proposed FE and numerical models are listed in Table 3. Note that the differences between the proposed FE and numerical models are less than 10%. The results can introduce some validation for the proposed FE model.

In Figs. 4 to 9, the mode shapes of FRS for proposed FE and numerical models at different critical speeds are compared. The first three natural frequencies of the proposed FE model for FW motion are 167 Hz, 298 Hz, and 381 Hz, respectively; and those for BW motion are 145 Hz, 238 Hz, and 356 Hz, respectively. The first three natural frequencies of numerical model from the commercial software for FW motion are 161 Hz, 292 Hz, and 376 Hz, respectively; and those for BW motion are 137 Hz, 225 Hz, and 328 Hz, respectively. For the FW motion, the differences of natural frequencies between the proposed FE model and numerical model are 3.6%, 2.0%, and 1.3%, respectively. For the BW motion, the differences of nat-

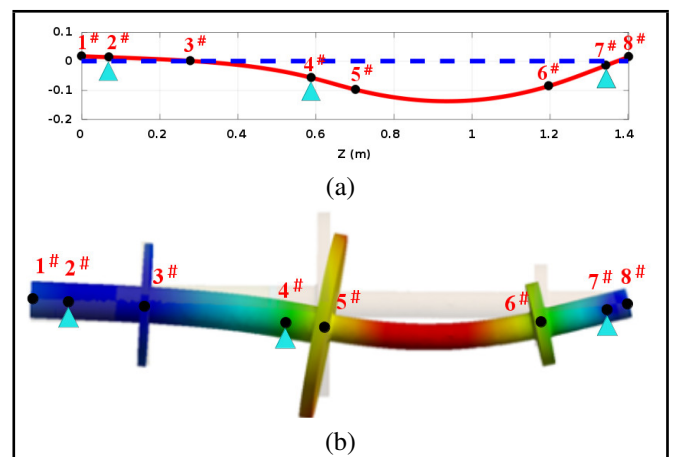


Figure 4. First mode shapes for BW motion from (a) the proposed FE model (145 Hz) and (b) numerical model (137 Hz).

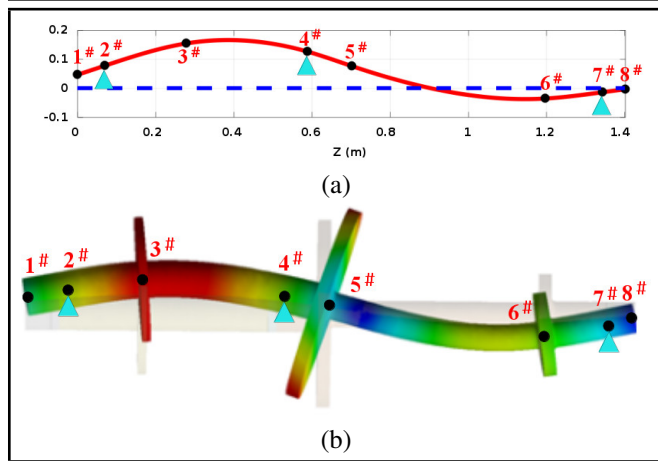


Figure 5. Second mode shapes for BW motion from (a) the proposed FE model (238 Hz) and (b) numerical model (225 Hz).

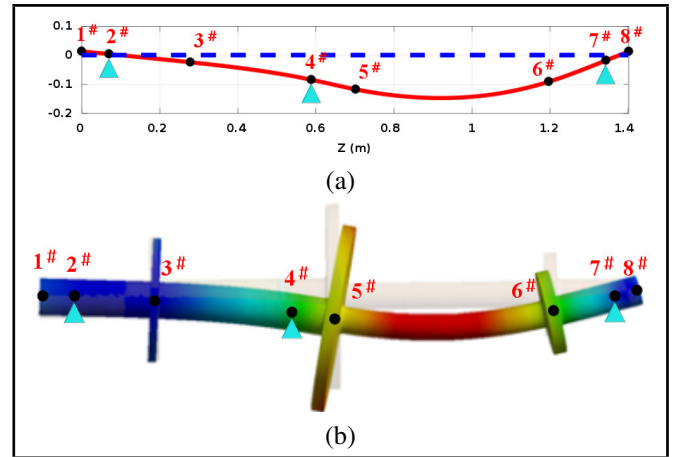


Figure 7. First mode shapes for FW motion from (a) the proposed FE model (167 Hz) and (b) numerical model (161 Hz).

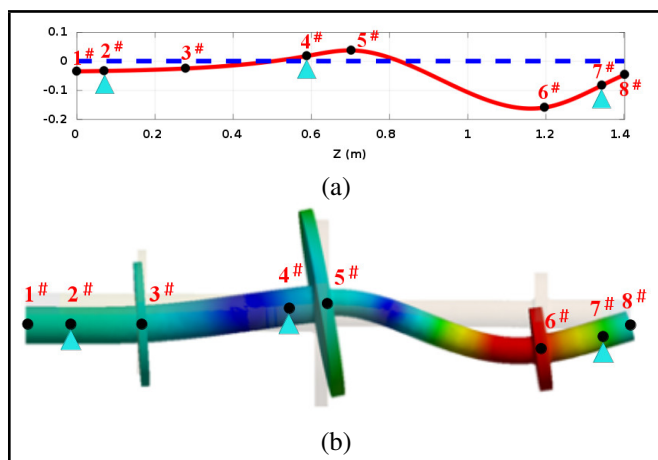


Figure 6. Third mode shapes for BW motion from (a) the proposed FE model (356 Hz) and (b) numerical model (328 Hz).

ural frequencies between the proposed FE model and numerical model are 5.5%, 5.5%, and 7.9%, respectively. Note that the vibrations for the proposed FE and numerical models from the commercial software are similar and their shapes match perfectly. As a consequence, the proposed FE model is an effective one for solving the critical speeds. In the FE model, the bearing stiffness is formulated by using four elastic spring with the constant stiffness coefficient. However, the bearing stiffness in the numerical model is formulated by the method given in equations (3) and (4). Moreover, the solid elements were used in the FE model, whose calculation method is different from that of the Timoshenko beam element used in the numerical model. The above differences of the bearing stiffness and element types may cause the differences of the natural frequencies from the FE and numerical model.

3. NUMERICAL ANALYSES

To formulate the bearing stiffness effect on the critical speeds of FRS, the first critical speeds for FW motion are calculated by Eq. (2) for different bearing stiffness cases. To analyze the effects of support positions A, B, and C on the critical speeds of FRS, three support position cases are discussed as shown in Fig. 10, where their variation ranges x_A , x_B , and x_C are defined be from 0 mm to 40 mm. Under the above conditions, the first critical speeds for FW motion are calculated

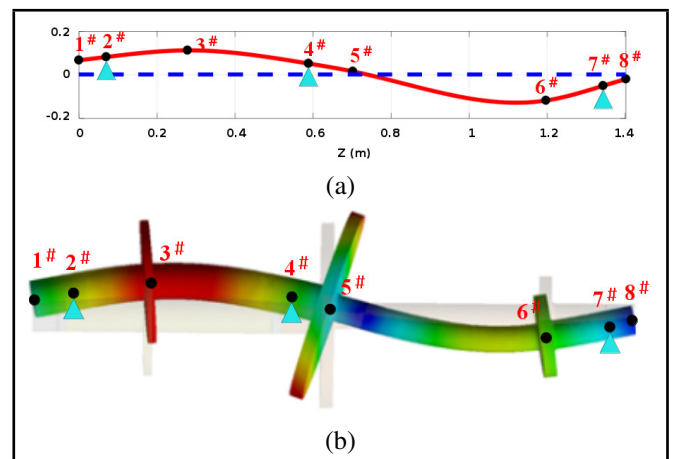


Figure 8. Second mode shapes for FW motion from (a) the proposed FE model (298 Hz) and (b) numerical model (292 Hz).

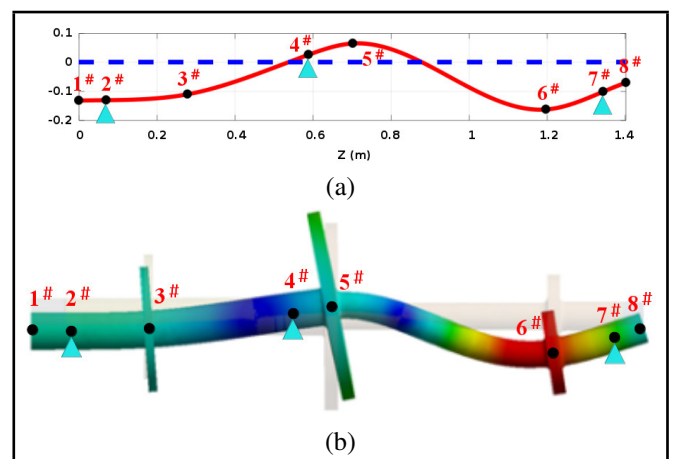


Figure 9. Third mode shapes for FW motion from (a) the proposed FE model (381 Hz) and (b) numerical model (376 Hz).

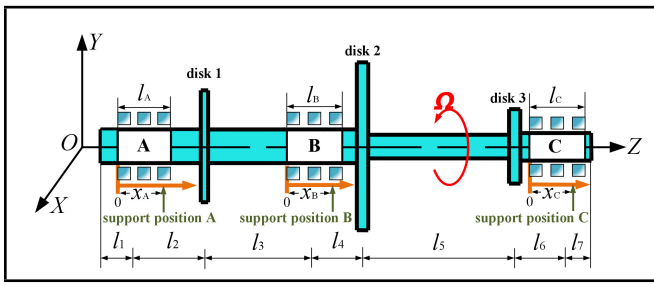


Figure 10. Effect of the support stiffness on the critical speeds of FRS.

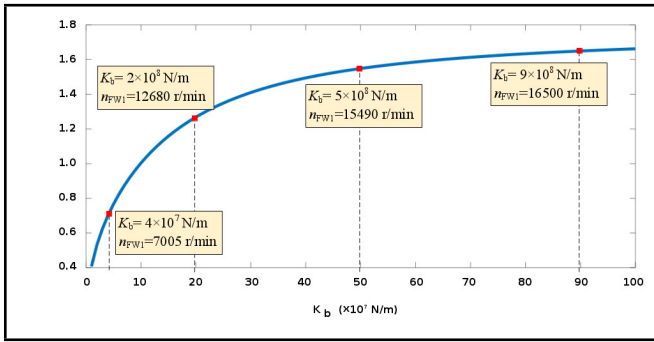


Figure 11. Effect of the support stiffness on the critical speeds of FRS.

by Eq. (2) for different support position cases.

In this work, the changes of the support stiffness and positions are used to change the critical speeds of the shaft, which purpose is to obtain the reasonable critical speeds of the design system. In this study, the operating speed of the system is about 11000 r/min.

3.1. Support Stiffness Effect on the Critical Speeds of FRS

The support stiffness effect on the first critical speed for FW motion is shown in Fig. 11. The support stiffness is from 1×10^7 to 1×10^9 N/m. In Fig. 11, the first critical speed for FW motion increases with the increment of support stiffness. When K_b is larger than 5×10^8 N/m, the increasing rate of first critical speed will slow down. It seems that the critical speeds are positively correlated with the bearing support stiffness.

As the method given in Refs.,^{22,23} the total contact stiffness of bearing can be calculated by:

$$k_{tot} = \frac{1}{\left[(1/k_{bin})^{1/n} + (1/k_{bout})^{1/n} \right]^n} \quad (3)$$

where k_{bin} and k_{bout} are the contact stiffness between the rolling element and two races, respectively; n is the load-deflection coefficient. Here, the bearing stiffness is depended on the geometric sizes of the rolling element and raceways, as well as the material parameters (such as elastic modulus, density, and Poisson's ratio) of bearing components.²⁴ Moreover, the manufacturing errors, defects, and lubricating conditions can also affect the bearing stiffness as given in Refs.^{24,25} More details for the calculation methods for the bearing stiffness can be found in Refs.^{22,23} The contact force for each rolling element is given as:

$$F_{tot} = k_{tot} \delta^n \quad (4)$$

The total bearing forces in different translational directions can be calculated as a sum of all individual roller forces.

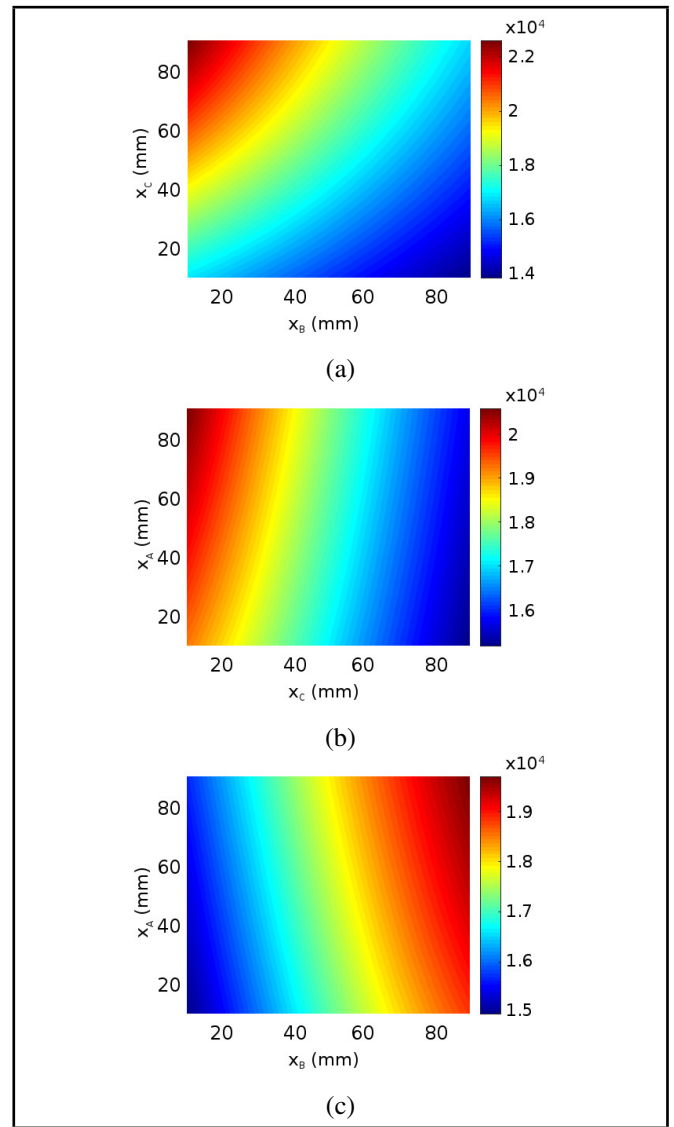


Figure 12. First critical speeds for FW motion of FRS when (a) $x_A=40$ mm, (b) $x_B=40$ mm, and (c) $x_C=40$ mm.

3.2. Support Position Effect on the Critical Speeds of FRS

3.2.1. Case one

For case one, one support position is fixed; and the other two support positions are variable. The effect of this support position case on the first critical speed for FW motion is depicted in Fig. 12. In Fig. 12(a), x_A is fixed at 40 mm, the first critical speed of FRS increases with x_B decrement; and the first critical speed of FRS increases with x_C increment. In Fig. 12(b), x_B is fixed at 40 mm, the first critical speed of FRS increases with the increment of x_A ; and the first critical speed of FRS increases with x_C decrement. In Fig. 12(c), x_C is fixed at 40 mm, the first critical speed of FRS increases with x_A increment; and the first critical speed of FRS increases with x_B increment. Figure 12 gives that the first critical speed of FRS is greatly affected by the bearing support positions. Thus, the support position optimization can be helpful for controlling the first critical speed and relative vibrations.

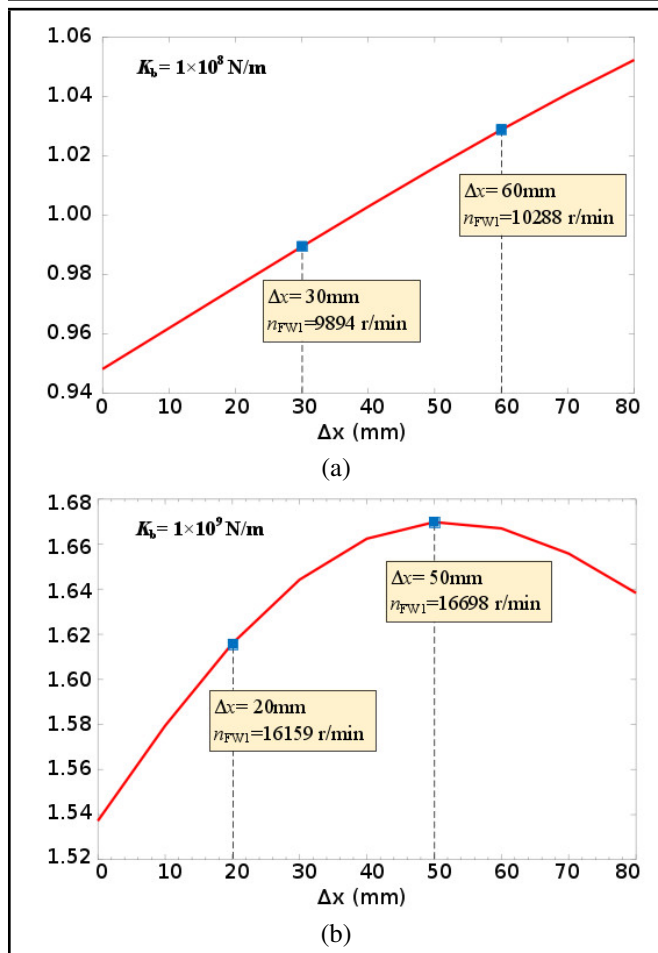


Figure 13. First critical speeds for FW motion of FRS when (a) $K_b = 1 \times 10^8$ N/m, and (b) $K_b = 1 \times 10^9$ N/m.

3.2.2. Case two

For case two, the variations of three positions x_A , x_B , and x_C are same, whose values are defined as Δx . Fig. 13 depicts the effect of this support position case on the first critical speed for FW motion. In Fig. 13(a), $K_b = 1 \times 10^8$ N/m, the first critical speed for FW motion increases with the variation Δx increment. In Fig. 13(b), $K_b = 1 \times 10^9$ N/m, when Δx is less than 50 mm, the first critical speed for FW motion increases with the increment of Δx ; when Δx is 50 mm, the first critical speed for FW motion reaches the maximum one; when Δx is larger than 50 mm, the first critical speed for FW motion decreases with the increment of Δx . Figure 13 also gives that the first critical speed of FRS is greatly affected by the bearing support positions. Similarly, the results show that the support position optimization can be helpful for controlling the first critical speed and relative vibrations.

3.2.3. Case three

For case three, the variations of two positions are same; and the other one position is different (such as $x_A = x_B \neq x_C$). The effect of this support position case on the first critical speed for FW motion is depicted in Fig. 14. In Fig. 14(a), x_B and x_C are same, the first critical speed for FW motion increases when x_B and x_C are close to 42 mm; and the first critical speed for FW motion increases with the increment of x_A . In Fig. 14(b), x_A and x_C are same, the first critical speed for FW motion increases with the decrements of x_A and x_C ; and the first critical

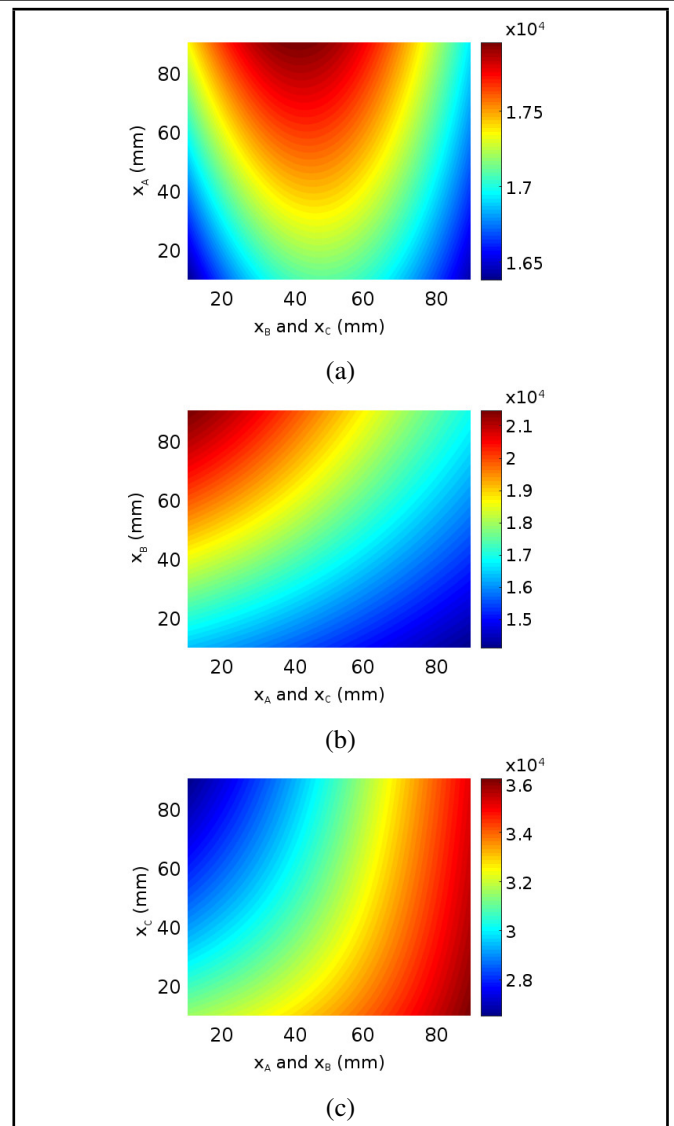


Figure 14. First critical speeds for FW motion of FRS when (a) x_B and x_C , (b) x_A and x_C , and (c) x_A and x_B are same.

speed for FW motion increases with the increment of x_B . In Fig. 14(c), x_A and x_B are same, the first critical speed for FW motion increases with the increments of x_A and x_B ; and the first critical speed for FW motion increases with the decrement of x_C . Figure 14 gives that the first critical speed of FRS is greatly affected by the bearing support positions too. Moreover, the results also depict that the support position optimization can be helpful for controlling the first critical speed and relative vibrations.

4. CONCLUSIONS

This study proposes an analytical FE model of an FRS based on the FE method in the literature. The proposed model considered the bearing support stiffness and rotational inertia of FRS. The frequency equation of an FRS was established for solving the critical speeds. The critical speeds, mode shapes, and natural frequencies of the system from the proposed FE model and a numerical model based on commercial software were compared to verify the effectiveness of the proposed FE model. The effects of the bearing support stiffness and position on the critical speeds of FRS were analyzed. The results

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